



Natural Gas Aggregation Application Form

This form may be used to: (1) apply for initial registration as a natural gas aggregator in New Hampshire, (2) apply for renewal of registration as a natural gas aggregator in New Hampshire, and (3) notify the Commission of any changes to information in a previously-filed natural gas aggregator application form. *This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 3006.02 when applying for initial or renewal registration as a natural gas aggregator, but you are not required to use this form when doing so.*

| Indicate whether this application is for an initial registration or for a renewal. Initial <input type="checkbox"/> Renewal <input checked="" type="checkbox"/> | | |
|---|--|-------------------------|
| Applicant's General Information | | |
| Puc 3006.02(a) | Legal Name | Diversegy, LLC |
| | Trade Name (d/b/a) (if applicable) | |
| Puc 3006.02(b) | Business Mailing Address | 520 Broad Street |
| | | Newark, NJ 07102 |
| | Telephone Number | 201-374-9641 |
| | E-Mail Address | info@diversegy.com |
| | Website Address (if applicable) | www.diversegy.com |
| Puc 3006.02(c) | Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant, if an individual, or of the applicant's principal(s), 1 if the applicant is anything other than an individual. Use additional sheets if your response exceeds the space provided on the form. | |
| | Name | Michael Stein |
| | Title | CEO |
| | Business Mailing Address | 520 Broad Street |
| | | Newark, NJ 07102 |
| | Telephone Number | 973-438-3500 |
| | E-Mail Address | mstein@genie.com |
| | | |
| | Name | Alan Schwab |
| | Title | President |
| | Business Mailing Address | 520 Broad Street |
| | | Newark, NJ 07102 |
| | Telephone Number | 973-438-3500 |
| | Email Address | aschwab@genieretail.com |
| | | |
| | Name | Avi Goldin |
| | Title | CFO |
| | Business Mailing Address | 520 Broad Street |
| | | Newark, NJ 07102 |
| Telephone Number | 973-438-3500 | |
| E-Mail Address | agoldin@genie.com | |

1 "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.



| Customer Service Contact | | |
|--------------------------|--|---------------------|
| Puc 3006.02(d) | Name | Susan Erwin |
| | Title | Price Desk Director |
| | Telephone Number | 201-374-9641 |
| | Toll-Free Telephone Number (if available) | 844-841-5458 |
| | E-Mail Address | info@diversegy.com |

| Customer Complaints Contact | | |
|-----------------------------|--------------------------|---------------------|
| Puc 3006.02(e)(1) | Name | Susan Erwin |
| | Title | Price Desk Director |
| | Business Mailing Address | 520 Broad Street |
| | | Newark, NJ 07102 |
| | Telephone Number | 973-438-3511 |
| E-Mail Address | info@diversegy.com | |

| Regulatory Compliance Matters Contact | | |
|---------------------------------------|--------------------------|--------------------------------|
| Puc 3006.02(e)(2) | Name | Avi Keilson |
| | Title | Director of Regulatory Affairs |
| | Business Mailing Address | 520 Broad Street |
| | | Newark, NJ 07102 |
| | Telephone Number | 973-438-3920 |
| E-Mail Address | akeilson@genieretail.com | |

| Commission Assessment Payments Contact | | |
|--|--------------------------|--------------------------------|
| Puc 3006.02(e)(3) | Name | Avi Keilson |
| | Title | Director of Regulatory Affairs |
| | Business Mailing Address | 520 Broad Street |
| | | Newark, NJ 07102 |
| | Telephone Number | 973-438-3920 |
| E-Mail Address | akeilson@genieretail.com | |

| Separate Attachments: Business Authority and Trade Name | |
|---|---|
| Puc 3006.02(f) | <p>Provide, as a separate attachment, evidence of the applicant's registration to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following:</p> <p>(1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or</p> <p>(2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.</p> |
| Puc 3006.02(g) | <p>Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following:</p> <p>(1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or</p> <p>(2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.</p> |



| Other States | | |
|----------------|--|--------------------------------|
| Puc 3006.02(h) | Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of natural gas customers. | DC, MA, MD, NJ, OH, PA, RI, VA |

| Statements Regarding Applicant and its Principals | | |
|--|---|----|
| Please respond to each of the following questions with either "Yes" or "No." | | |
| Puc 3006.02(i)(1) | Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court? | No |
| Puc 3006.02(i)(2) | Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation? | No |
| Puc 3006.02(i)(3) | Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation? | No |
| Puc 3006.02(i)(4) | Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation? | No |
| Puc 3006.02(i)(5) | Has applicant or any of its principals been denied authorization to provide competitive natural gas supply service or natural gas aggregation service in any other state or jurisdiction? | No |
| Puc 3006.02(j) | If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed. | |

| Competitive Natural Gas Suppliers | | |
|-----------------------------------|---|--|
| Puc 3006.02(k) | Provide a list of the CNGSs in New Hampshire through which the applicant intends to provide service. Use additional sheets as needed. | |

| Statement Regarding Supplier Representation | | |
|--|--|-----|
| Puc 3006.02(l) | Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest? | Yes |
| If the response to the preceding question is "Yes," please list the supplier(s) represented. Use additional sheets if needed. Direct Energy, Sprague Energy, UGI Energy Services | | |

| Expected Marketing Start Date | | |
|-------------------------------|---|------------|
| Puc 3006.02(m) | Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire. | Date _____ |

| Attestation and Signature | | |
|---------------------------|--|-----------------------------------|
| Puc 3006.02(n) and (o) | <p>BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.</p>  <p>Signature of the applicant or its authorized representative</p> <p>Name: Alan Schwab</p> <p>Title: President</p> | <p>2/9/2021</p> <p>Date _____</p> |

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Business Information

Business Details

| | |
|--|---|
| Business Name: DIVERSEGY LLC | Business ID: 705312 |
| Business Type: Foreign Limited Liability Company | Business Status: Good Standing |
| Business Creation Date: 03/10/2014 | Name in State of Formation: DIVERSEGY LLC |
| Date of Formation in Jurisdiction: 03/10/2014 | |
| Principal Office Address: 520 Broad Street, Newark, NJ, 07102, USA | Mailing Address: 520 Broad Street, Newark, NJ, 07102, USA |
| Citizenship / State of Formation: Foreign/Texas | |
| | Last Annual Report Year: 2020 |
| | Next Report Year: 2021 |
| Duration: Perpetual | |
| Business Email: mini@idt.net | Phone #: 972-924-5642 |
| Notification Email: brisa.arias@swalegal.com | Fiscal Year End Date: NONE |

Principal Purpose

| S.No | NAICS Code | NAICS Subcode |
|------|--|---------------|
| 1 | OTHER / Electricity and natural gas broker/aggregator. | |

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Principals Information

Name/Title

Genie Energy Services, LLC / Member

Business Address

520 Broad Street, Newark, NJ, 07102, USA

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Registered Agent Information

Name: CORPORATION SERVICE COMPANY

Registered Office 10 Ferry St Ste 313, Concord, NH, 03301, USA
Address:

Registered Mailing 10 Ferry St Ste 313, Concord, NH, 03301, USA
Address:

Trade Name Information

No Trade Name(s) associated to this business.

Trade Name Owned By

No Records to View.

Trademark Information

| Trademark Number | Trademark Name | Business Address | Mailing Address |
|------------------|----------------|------------------|-----------------|
|------------------|----------------|------------------|-----------------|

No records to view.

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